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FILING OR 371 APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO **DRAWINGS** TOT CLMS IND CLMS (c) DATE 11/091,319 03/28/2005 1642 1750 0100.458US 37 19

CONFIRMATION NO. 3283

30560 MAXYGEN, INC. INTELLECTUAL PROPERTY DEPARTMENT 515 GALVESTON DRIVE RED WOOD CITY, CA 94063

FILING RECEIPT

OC000000016043865

Date Mailed: 05/17/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Willem P.C. Stemmer, Los Gatos, CA;
Andreas Crameri, Mountain View, CA;

COPY

Assignment For Published Patent Application

Maxygen, Inc., Redwood City, CA

Power of Attorney:

Robert Huntington—27903 Sharon Crane—36113

Domestic Priority data as claimed by applicant

This application is a CON of 10/246,229 09/17/2002 which is a CON of 09/717,391 11/18/2000 PAT 6,506,603 which is a CON of 09/239,395 01/28/1999 which is a CON of 08/621,859 03/25/1996 PAT 6,117,679 which is a CIP of 08/564,955 11/30/1995 PAT 5,811,238 which is a CIP of PCT/US95/02126 02/17/1995 which is a CIP of 08/198,431 02/17/1994 PAT 5,605,793

Foreign Applications

File # O100 AS 8

Due Date 6/28/0S Ext

Final Date 105

If Required, Foreign Filing License Granted: 05/17/2005

The country code and number of your priority application, to be used for filing abroad under the Paris

Convention, is **US11/091,319**

Projected Publication Date: 08/25/2005

Non-Publication Request: No

Early Publication Request: No

Title

Methods for generating polynucleotides having desired characteristics by iterative selection and recombination

Preliminary Class

435

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1 FW

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 11/091,319 TRANSMITTAL March 28, 2005 Filing Date **FORM** Willem P.C. Stemmer **First Named Inventor** (to be used for all correspondence after initial filing) Group Art Unit 1642 Unassigned **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 0100.458US **ENCLOSURES** (check all that apply) **Assignment Papers** After Allowance Communication Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please **Extension of Time Request** Address identify below): Terminal Disclaimer Request for Corrected Filing Receipt; Copy of Filing Receipt; Copy of Application **Express Abandonment Request** Sequence Listing pages Data Sheet; and Return Postcard Information Disclosure Statement Diskette copy of Sequence Listing Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Margaret A. Powers, Reg. No. 39,804 Individual name Signature July 7, 2005 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: July 7___, 2005 Ann Massev Typed or printed name . 2005 Signature)assey July Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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P.O. Box 1450

Alexandria, VA 22313-1450

on July 7 2005

Ann Massey

Attorney Docket No. 0100.458US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Willem P.C. Stemmer

Application No.: 11/091,319

Filed: March 28, 2005

For: Methods for Generating

Polynucleotides Having Desired Characteristics by Iterative Selection

and Recombination

Examiner: Unassigned

Art Unit: 1642

REQUEST FOR CORRECTED FILING

RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached is a copy of the Official Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified patent application for which issuance of a corrected filing receipt is respectfully requested.

There is an error under the "Applicant(s)" information on the Official Filing Receipt. Specifically, **Anderas Crameri, Mountain View, CA** should be deleted under the heading of "Applicant(s)." As shown on the enclosed copy of the Application Data Sheet filed with the application on March 28, 2005, Willem P.C. Stemmer is the only inventor of the above-identified application. A marked-up copy of the Official Filing Receipt showing the correction in red ink is enclosed.

Willem P.C. Stemmer

Application No.: 11/091,319

Page 2

Applicants respectfully request that a corrected filing receipt be issued.

This error is not due to any fault on the part of Applicants. It is believed that no fee is due. However, in the event that a fee is due, please charge Deposit Account No. 50-0990.

Respectfully submitted,

Margaret A. Powers

Reg. No. 39,804

July 7, 2005 MAXYGEN, INC. Intellectual Property Department 515 Galveston Drive Redwood City, California 94063

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Customer No. 30560



Application Information

Application Type::

Utility

Subject Matter::

CD-ROM or CD-R?::

None

Sequence submission?::

Yes

Title::

Methods for Generating Polynucleotides Having Desired

Characteristics by Iterative Selection and Recombination

Attorney Docket Number::

0100.458US

Request for Early Publication?::

Request for Non-Publication?:: No

Total Drawing Sheets::

37

No

Small Entity::

No

Petition included?::

No

Secrecy Order in Parent Appl.?:: No

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Representative Information

Representative Customer	30560
Number::	

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	Continuation of	10/246,229	09/17/2002
10/246,229	Continuation of	09/717,391	11/18/2000
09/717,391	Continuation of	09/239,395	01/28/1999
09/239,395	Continuation of	08/621,859	03/25/1996
08/621,859	Continuation-in- part of	08/564,955	11/30/1995
08/564,955	Continuation-in- part of	PCT/US95/02126 (US Nat'l Phase 08/537,874, filed 05/04/1996)	02/17/1995 (05/04/1996)
PCT/US95/02126 (US Nat'l Phase 08/537,874, filed 05/04/1996)	Continuation-in- part of	08/198,431	02/17/1994

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